

Applicant(s)	James D. Beasom	SECOND COMMUNICATION REGARDING UNINITIALED 1449
Serial No.	09/990,330	
Filing Date	November 21, 2001	
Examiner Name	Howard Weiss	
Group Art Unit	2814	
Allowed	July 8, 2003	
Confirmation No.	2642	
Attorney Docket No.	125.009US01	
Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT HAVING SEPARATED DEVICE REGIONS		

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicant submitted a Supplemental Information Disclosure Statement, Form 1449 and copies of 5 references on May 16, 2003 in the above-referenced patent application. Subsequently, a Notice of Allowance, dated July 8, 2003, was issued. The notice of allowance did not include an initialized copy of the filed 1449. Ms. Bauer, paralegal with Fogg and Associates, discussed the omission in a telephone conversation on July 24, 2003 with Examiner Weiss. The Examiner indicated that he did not receive the Supplemental Information Disclosure Statement before allowing the case and requested that we wait a while to see if the Supplemental Information Disclosure Statement catches up with the file. Applicant waited approximately 2 months to see if a "match-up" occurred, which it did not. Applicant re-filed the Supplemental Information Disclosure Statement along with a copy of the return-receipt postcard on September 18, 2003. Ms. Bauer, spoke to Examiner Weiss on October 7, 2003 to find out whether or not he had received either of these filings and was again told that there was no record of these being received by the U.S. Patent and Trademark Office. Examiner Weiss advised us to go ahead and pay the issue fee and that he would order the file to review the 1449. Applicant's representative was not comfortable paying the issue fee without an initialized 1449, therefore, had no other choice but to file an RCE to get the art reviewed. Attached please find a copy of the following documents as outlined below:

EXHIBIT A: Supplemental Information Disclosure Statement originally filed on May 16, 2003.

- (1) A copy of the return-receipt postcard listing the Supplemental Information Disclosure Statement, Form 1449, copy of International Search Report, and copies of 5 documents, which is date-stamped as being received by the U.S. Patent Office (OIPE) on May 19, 2003;
- (2) The transmittal form accompanying same;
- (3) Supplemental Information Disclosure Statement as filed;
- (4) Form 1449 as filed; and
- (5) Copies of 5 documents.

EXHIBIT B: Re-filing of the Supplemental Information Disclosure Statement on September 18, 2003.

- (1) A copy of the return-receipt postcard listing the Communication Regarding Uninitialized 1449 and Exhibit A above, which is date-stamped as being received by the U.S. Patent Office (OIPE) on September 22, 2003.
- (2) Communication Regarding Uninitialized 1559;
- (3) The transmittal form accompanying same;
- (4) Supplemental Information Disclosure Statement as filed;
- (5) Form 1449 as filed.
- (6) Copies of 5 documents [see Exhibit A No. (5)]

Applicant respectfully requests that this Supplemental Information Disclosure Statement, as filed on May 16, 2003, be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP §609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant.

It is believed that no fee is due; however, should fees be required, the Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 502432.

COMMUNICATION

Serial No. 09/990,330

Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT HAVING SEPARATED DEVICE REGIONS

PAGE 3

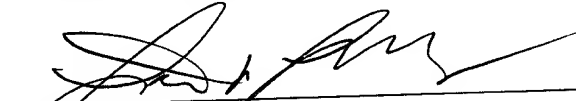
Attorney Docket No. 125.009US01

The Examiner is invited to contact the Applicant's representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

Date: _____

10-8-03



Scott V. Lundberg
Reg. No. 41,958

Attorneys for Applicant
Fogg and Associates, LLC
P.O. Box 581339
Minneapolis, MN 55458-1339
T - (612) 332-4720
F - (612) 677-3553

Receipt of the below-listed documents is hereby acknowledged in the U.S. Patent and Trademark Office:

Applicant: James D. Beasom
Serial No.: 09/990,330
Filing date: November 21, 2001
Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT
HAVING SEPARATED DEVICE REGIONS

Enclosed: A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), copy of International Search Report and copies of 5 documents; and transmittal document.

Mailed via First Class Mail: May 16, 2003
Attorney Docket No.: 125.009US01
SVL:eab



Exhibit A

Receipt of the below-listed documents is hereby acknowledged in the U.S. Patent and Trademark Office:

Applicant: James D. Beasom
Serial No.: 09/990,330
Filing date: November 21, 2001
Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT
HAVING SEPARATED DEVICE REGIONS

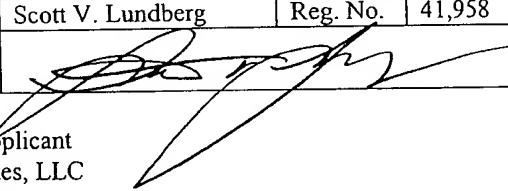
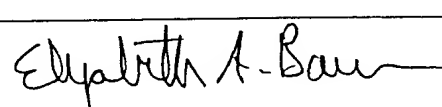
Enclosed: A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), copy of International Search Report and copies of 5 documents; and transmittal document.

Mailed via First Class Mail: May 16, 2003
Attorney Docket No.: 125.009US01
SVL:eab



Applicant(s)	James D. Beasom	TRANSMITTAL FORM UNDER 37 CFR 1.8 (LARGE ENTITY)
Serial No.	09/990,330	
Filing Date	November 21, 2001	
Group Art Unit	2814	
Examiner Name	Howard Weiss	
Confirmation No.	6841	
Attorney Docket No.	125.009US01	
Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT HAVING SEPARATED DEVICE REGIONS		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Enclosures					
The following documents are enclosed:					
<u>X</u> Supplemental Information Disclosure Statement (2 pgs.); Form 1449 (1 pg.); copy of International Search Report, and copies of 5 documents.					
<u>X</u> A return postcard.					
Please charge any additional fees or credit any overpayments to Deposit Account No. 502432.					
CUSTOMER NO. 34206					
Submitted By					
Name	Scott V. Lundberg	Reg. No.	41,958	Telephone	(612) 332-4720
Signature				Date	May 6, 2003
Attorneys for Applicant Fogg & Associates, LLC P.O. Box 581339 Minneapolis, MN 55458-1339 T: 612-332-4720 F: 612-677-3553					
Certificate of Mailing					
I certify that this correspondence, and the documents identified above, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 16, 2003.					
Name	Elizabeth A. Bauer	Signature			

Applicant(s)	James D. Beasom	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Serial No.	09/990,330	
Filing Date	November 21, 2001	
Group Art Unit	2814	
Examiner	Howard Weiss	
Attorney Docket No.	125.009US01	
Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT HAVING SEPARATED DEVICE REGIONS		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with 37 C.F.R. §§ 1.56 and 1.97, *et seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified Application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the references listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to MPEP §609, Applicant further requests that the Examiner initial next to each reference on the Form 1449 to indicate that the listed references have been considered. Applicant further requests that a copy of the initialed Form 1449 be returned with the next official communication.

The enclosed references were recently cited in a communication in a counterpart foreign application. A copy of the International Search Report is enclosed herewith.

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

CERTIFICATION UNDER 37 CFR 1.97(e)(1)

The undersigned attorney hereby certifies that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application as indicated above, not

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

PAGE 2

Serial No. 09/990,330

Attorney Docket No. 125.009US01

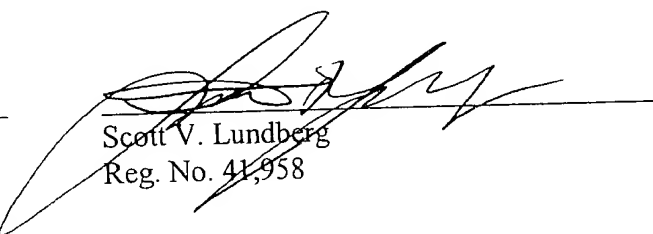
Title: LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT HAVING SEPARATED
DEVICE REGIONS

more than three months prior to the mailing date of this Supplemental Information
Disclosure Statement.

If the Examiner has any questions or concerns regarding this application, please
contact the undersigned at the number listed below.

Respectfully submitted,

Date: 5-16-03



Scott V. Lundberg
Reg. No. 41,958

Attorneys for Applicant
Fogg and Associates, LLC
P.O. Box 581339
Minneapolis, MN 55458-1339
T: 612-332-4720
F: 612-677-3553

Applicant(s)	James D. Beasom	INFORMATION DISCLOSURE STATEMENT FORM PTO-1449
Serial No.	09/990,330	
Filing Date	November 21, 2001	
Group Art Unit	2814	
Examiner Name	Howard Weiss	
Attorney Docket No.	125.009US01	
Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT HAVING SEPARATED DEVICE REGIONS		Sheet 1 of 1

U.S. Patent References				
Examiner Initials	Patent No.	Issue Date	Name	Filing Date
	5,264,719	11/23/93	Beasom	05/24/91
	5,482,888	01/09/96	Hsu et al.	08/12/94
	5,918,137	06/29/99	Ng et al.	04/27/98

Foreign Patent References					
Examiner Initials	Foreign Patent		Name	Publication Date	T
	Country	No.			
	EP	0309828		04/05/89	
	GB	2215515		09/20/89	

Other References	
Examiner Initials	Author, Title, Date, Pages, etc.
	NONE

Examiner Signature		Date Considered	
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			
Based on Form PTO-FB-A820 Patent and Trademark Office, U.S. Department of Commerce			



Receipt of the below-listed documents is hereby acknowledged in the U.S. Patent and Trademark Office:

Applicant: James D. Beasom
Serial No.: 09/990,330
November 21, 2001

Receipt of the below-listed documents is hereby acknowledged in the U.S. Patent and Trademark Office:

Applicant: James D. Beasom
Serial No.: 09/990,330
Filing date: November 21, 2001
Allowed: July 8, 2003
Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT
HAVING SEPARATED DEVICE REGIONS

Enclosed: Communication Regarding Uninitialed 1449 (2 pgs.) and Exhibit A (which includes copies of return receipt postcard, copy of International Search Report, Supplemental Information Disclosure Statement, Form 1449, and copies of 5 documents filed on May 16, 2003); and Transmittal document.

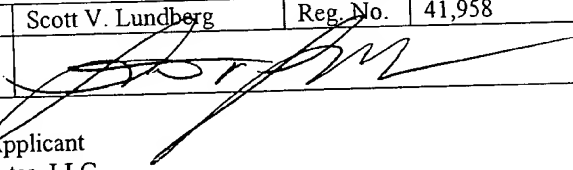
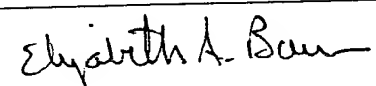
Mailed via First Class Mail: September 18, 2003
Attorney Docket No.: 125.009US01
SVL:eab



Exhibit B

Applicant(s)	Gary Gustine et al.	TRANSMITTAL FORM UNDER 37 CFR 1.8 (LARGE ENTITY)
Serial No.	09/804,129	
Filing Date	March 12, 2001	
Group Art Unit	2835	
Allowed	March 25, 2003	
Confirmation No.	8677	
Examiner Name	M. Datskovskiy	
Attorney Docket No.	125.009US01	
Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT HAVING SEPARATED DEVICE REGIONS		

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Enclosures			
The following documents are enclosed:			
<input checked="" type="checkbox"/> Communication (2 pgs.) and Exhibit A (which includes copies of return receipt postcard, Supplemental Information Disclosure Statement, copy of International Search Report, 1449 and copies of 5 documents filed on May 16, 2003). <input checked="" type="checkbox"/> A return postcard.			
Please charge any additional fees or credit any overpayments to Deposit Account No. 502432.			
CUSTOMER NO. 34206			
Submitted By			
Name	Scott V. Lundberg	Reg. No.	41,958
Signature			Telephone (612) 332-4720
		Date	September 18, 2003
Attorneys for Applicant Fogg & Associates, LLC P.O. Box 581339 Minneapolis, MN 55458-1339 T: 612-332-4720 F: 612-677-3553			
Certificate of Mailing			
I certify that this correspondence, and the documents identified above, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 18, 2003.			
Name	Elizabeth A. Bauer	Signature	

Applicant(s)	James D. Beasom	<p style="text-align: center;">COMMUNICATION REGARDING UNINITIALED 1449</p>
Serial No.	09/990,330	
Filing Date	November 21, 2001	
Examiner Name	Howard Weiss	
Group Art Unit	2814	
Allowed	July 8, 2003	
Confirmation No.	2642	
Attorney Docket No.	125.009US01	
<p>Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT HAVING SEPARATED DEVICE REGIONS</p>		

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Commissioner for Patents
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Alexandria, VA 22313-1450

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Therefore, submitted herewith is a copy of the below-identified documents as originally filed on May 16, 2003 and identified as Exhibit A:

- (1) A copy of the return-receipt postcard listing the Supplemental Information Disclosure Statement, Form 1449, copy of International Search Report, and copies of 5 documents, which is date-stamped as being received by the U.S. Patent Office (OIPE) on May 19, 2003;
- (2) The transmittal form accompanying same;
- (3) Supplemental Information Disclosure Statement as filed;

COMMUNICATION

Serial No. 09/990,330

Title: A LATERAL MOSFET STRUCTURE OF AN INTEGRATED CIRCUIT HAVING SEPARATED DEVICE REGIONS

PAGE 2

Attorney Docket No. 125.009US01

- (4) Form 1449 as filed; and
- (5) Copies of 5 documents.

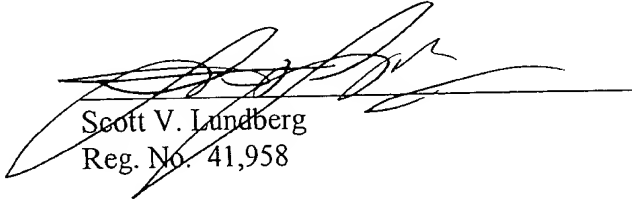
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It is believed that no fee is due; however, should fees be required, the Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 502432.

The Examiner is invited to contact the Applicant's representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

Date: 9-18-03


Scott V. Lundberg
Reg. No. 41,958

Attorneys for Applicant
Fogg and Associates, LLC
P.O. Box 581339
Minneapolis, MN 55458-1339
T – (612) 332-4720
F – (612) 677-3553

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Mailed via First Class Mail: May 16, 2003
Attorney Docket No.: 125.009US01
SVL:eab



Exhibit A